

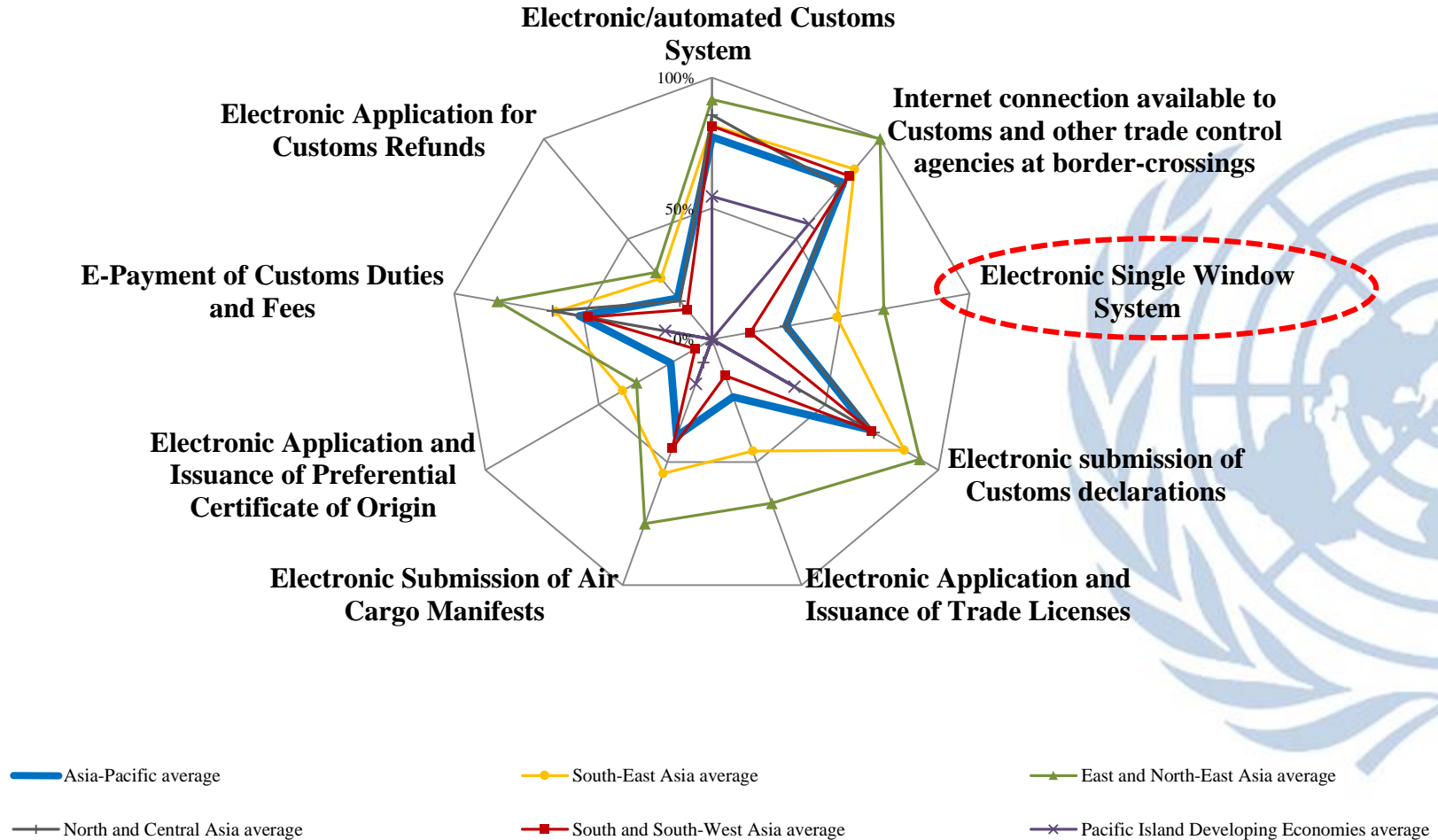
# **Single Window for Trade Facilitation in Asia and the Pacific**

**5-7 October 2015  
Brazzaville, Republic of Congo**

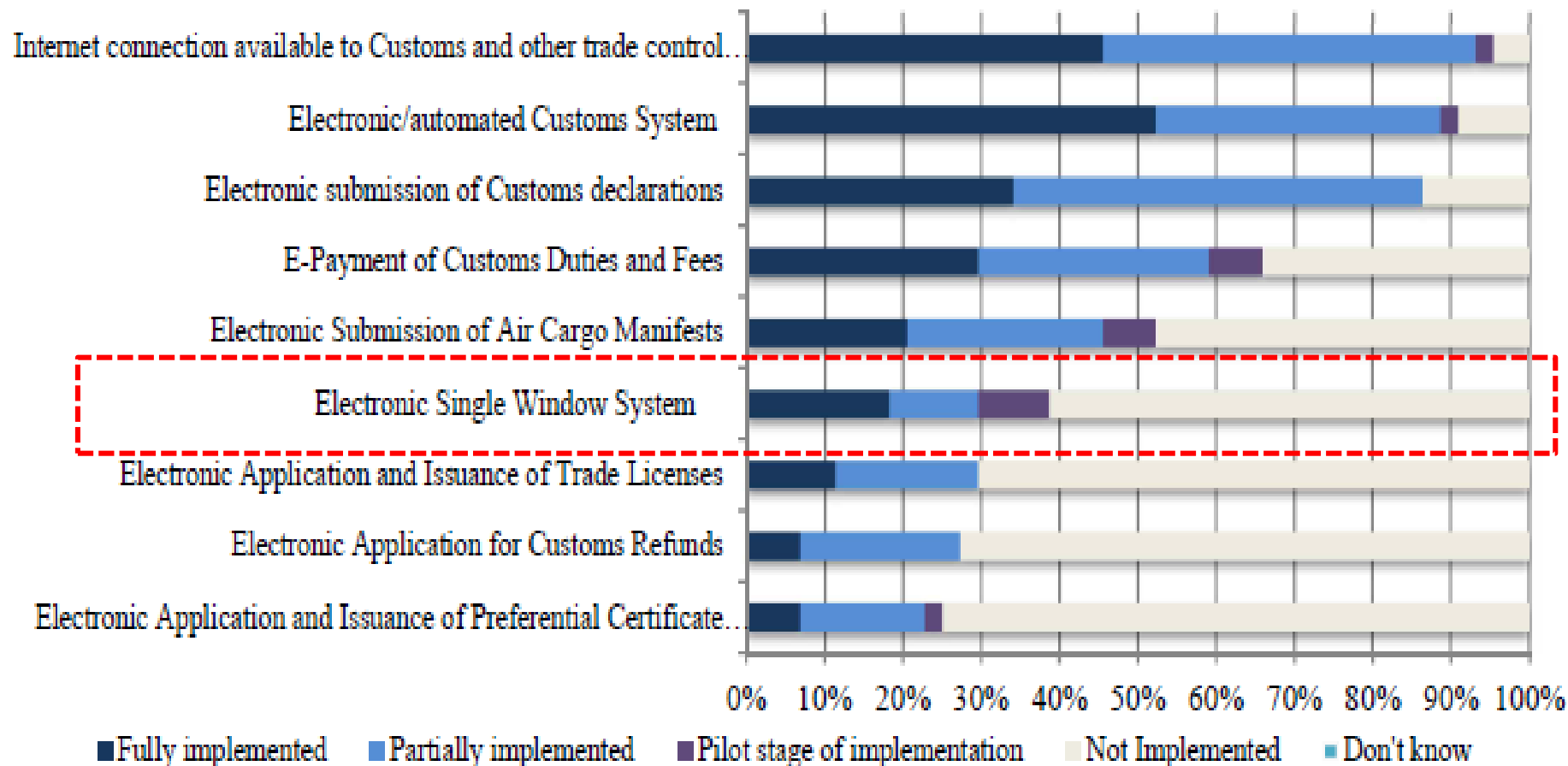
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United Nations ESCAP**



# Single Window Implementation Landscape In Asia and the Pacific



# Single Window Implementation Landscape In Asia and the Pacific (Cont'd)



# Proliferation of Single Window Implementations In Asia and the Pacific: Demand-driven Outcome



Ranking in World Bank  
Doing Business 2015:  
Trading across borders

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2

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11

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20

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36

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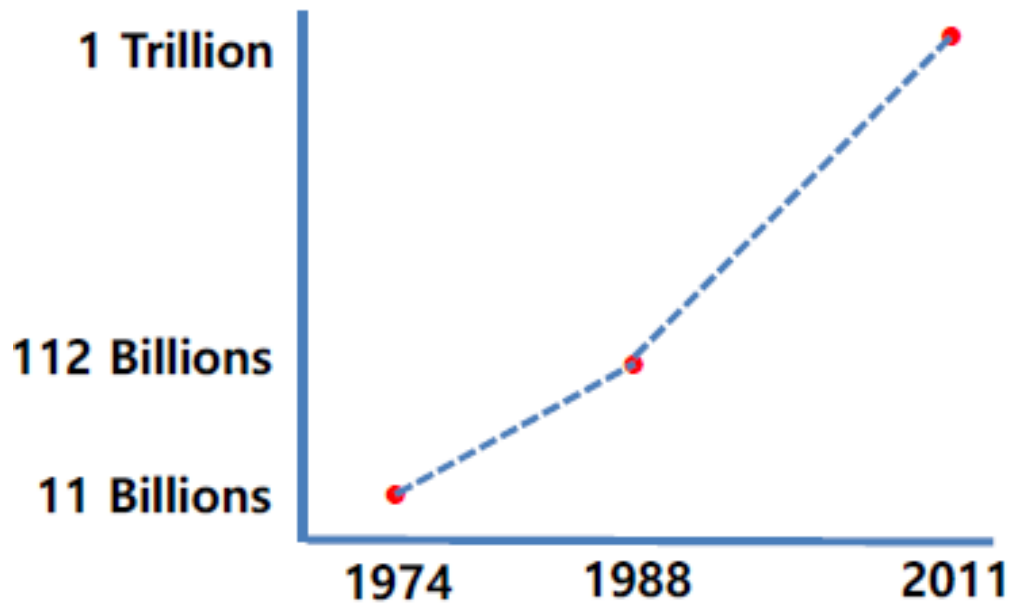
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# Demand-driven Outcome: Example of Republic of Korea (ROK)

Trade Growth (Value) of ROK over time (US\$)





## WB Doing Business: Ranking in Trading across Borders

- 2007 (2006): 28
- 2012 (2011): 4



# Trade Facilitation beyond Borders: Regional Single Window/Paperless Trade Initiatives

Initiatives	Overview
	<p>Alliance of paperless trade service providers from 11 economies in Asia and the Pacific to enable electronic cross-border trade data exchange</p>
	<p>Intergovernmental initiative in the Southeast Asia for realizing regional economic community through connectivity among National Single Windows</p>
<p><b>ESCAP Regional Agreement on Cross-border Paperless Trade Facilitation</b></p>	<p>A UN treaty currently being negotiated and developed, for the facilitation of cross-border trade data exchange among ESCAP member states.</p>
<p><b>Others</b></p>	<p>Northeast Asia Logistics Information Service Network (NEALNET), SASEC, GTI, EEC, ECO, etc.</p>

# Linking Single Window Implementation with WTO TFA: An Opportunity?

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- ❖ When a phenomenon occurs, people take it in different ways. It can be an opportunity or a threat, but it is up to you whether you make it an opportunity or a threat.
- ❖ Those countries who have not yet initiated Single Window implementation should take advantage of WTO TFA requirements on Single Window as an opportunity to improve their trade facilitation environments.
- ❖ When planned in a systematic and holistic way, implementing a Single Window can greatly help meeting many of the requirements in the WTO TFA provisions, and even more.

# Linking Single Window Implementation with WTO TFA: An Opportunity? (Cont'd)

Articles	Provisions
Article 1	Publication and Availability of Information
Article 2	Opportunity to comment, information before entry into force and consultations
Article 3	Advance Rulings
Article 4	Procedures for appeal or review
Article 5	Other measures to enhance impartiality, non-discrimination and transparency
Article 6	Disciplines on fees and charges imposed on or in connection with importation and exportation and penalties
Article 7	Release and Clearance of Goods
Article 8	Border Agency Cooperation
Article 9	Movement of goods intended for import under customs control
Article 10	Formalities connected with importation, exportation and transit
Article 11	Freedom of transit
Article 12	Customs Cooperation

 **Direct Linkage with SW Implementation**

 **Indirect Linkage with SW Implementation**



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# Thank you

[www.unescap.org/our-work/trade-investment/trade-facilitation](http://www.unescap.org/our-work/trade-investment/trade-facilitation)

<http://communities.unescap.org/cross-border-paperless-trade-facilitation>

[unnexnext.unescap.org](http://unnexnext.unescap.org)



# Annex: Overview of UN Regional Commissions Global Survey on Trade Facilitation and Paperless Trade Implementation 2015

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- **Survey Scope:** Goes beyond the scope of the TF measures included in the WTO Trade Facilitation Agreement (TFA)

- **38 TF measures covered (4 groups):**
  - 1) General TF measures
  - 2) Paperless trade
  - 3) Cross-border paperless trade
  - 4) Transit facilitation



[ General TF measures, transit facilitation measures + some paperless trade measures related to WTO TFA provisions ]

– Data collected for **111 countries** around the World

– More details can be found at:

[unnexnext.unescap.org/UNTFSurvey2015.asp](http://unnexnext.unescap.org/UNTFSurvey2015.asp)



# Survey Scope

## Trade facilitation measure (and question no.) in survey questionnaire

### Transparency

2. Publication of existing import-export regulations on the Internet
3. Stakeholder consultation on new draft regulations
4. Advance publication/notification of new regulations before their implementation
5. Advance ruling
9. Independent appeal mechanism

### Formalities

6. Risk management
7. Pre-arrival processing
8. Post-clearance audit
10. Separation of release from final determination of duties, taxes, fees and charges
11. Establishment and publication of average release times
12. Trade facilitation measures for authorized operators
13. Expedited shipments
14. Acceptance of paper or electronic copies

### Institutional arrangement and cooperation

1. Establishment of a national trade facilitation committee
31. Cooperation between agencies on the ground at the national level
32. Government agencies delegating controls to Customs authorities
33. Alignment of working days and hours with neighbouring countries at border crossings
34. Alignment of formalities and procedure with neighbouring countries at border crossings

General TF Measures

## **Trade facilitation measure (and question no.) in survey questionnaire**

### **Paperless trade**

- 15. Electronic/automated Customs System established
- 16. Internet connection available for Customs and other trade control agencies at border-crossings
- 17. Electronic Single Window System
- 18. Electronic submission of Customs declarations
- 19. Electronic Application and Issuance of Trade Licenses
- 20. Electronic Submission of Sea Cargo Manifests
- 22. Electronic Application and Issuance of Preferential Certificate of Origin
- 23. E-Payment of Customs Duties and Fees
- 24. Electronic Application for Customs Refunds

### **Cross-border paperless trade**

- 25. Laws and regulations for electronic transactions are in place
- 26. Recognized certification authority issuing digital certificates to traders for electronic transactions
- 27. Engagement of the country in trade-related cross-border electronic data exchange
- 28. Certificate of origin electronically exchanged
- 29. Sanitary and Phytosanitary (SPS) Certificate electronically exchanged
- 30. Banks and insurers retrieving letters of credit electronically without paper-based documents

### **Transit Facilitation**

- 35. Transit facilitation agreement(s) with neighbouring countries
- 36. Customs Authorities limit the physical inspections of transit goods and use of risk assessment
- 37. Supporting pre-arrival processing for trade facilitation
- 38. Cooperation between agencies of countries involved in transit

# Data Collection Methodology

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-Standard 3-step process:

**Step 1:** Data submission by experts (*Sept – Mar 2014*) - survey forms disseminated by UNRCs to experts from governments, private sector and/or academia

**Step 2:** Data verification by UNRCs (*Oct 2014–Apr 2015*) - Staff from UNRCs cross-checked collected data and augmented it through desk research and data sharing among UNRCs and survey partners

**Step 3:** Data verification by governments (*Apr – May 2015*) - Unified country data sent to national government or representatives to ensure that countries have the opportunity to review the dataset and provide additional information